

LANCE R. LEFLEUR
DIRECTOR



ROBERT J. BENTLEY
GOVERNOR

Alabama Department of Environmental Management
adem.alabama.gov

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Montgomery, Alabama 36130-1463
(334) 271-7700 ■ FAX (334) 271-7950

CERTIFIED MAIL NO.: 91 7108 2133 3936 3655 6656

August 23, 2013

Mr. John Szmajda
AFCO Architectural Products
1115 Dowzer Avenue
Pell City, AL 35125

Re: Consent Order
Facility No. 410-0030

Dear Mr. Szmajda:

Please find enclosed ADEM Consent Order No. 13-XXX-CAP which requires AFCO Architectural Products to take certain actions in regard to alleged violations of the Alabama Air Pollution Control Act. This Order has been issued with the consent of AFCO Architectural Products and the Department. Please note that the assessed civil penalty is due within 45 days of the effective date of this Order.

If you have any questions concerning this matter, please contact John Robert Gill at (334) 271-7866 in Montgomery.

Sincerely,

A handwritten signature in black ink, appearing to read "Ronald W. Gore".

Ronald W. Gore, Chief
Air Division

RWG/JRG:jrg

Enclosures

Birmingham Branch
110 Vulcan Road
Birmingham, AL 35209-4702
(205) 942-6168
(205) 941-1603 (FAX)

Decatur Branch
2715 Sandlin Road, S. W.
Decatur, AL 35603-1333
(256) 353-1713
(256) 340-9359 (FAX)

Mobile Branch
2204 Perimeter Road
Mobile, AL 36615-1131
(251) 450-2400
(251) 479-2593 (FAX)

Mobile-Coastal
4171 Commanders Drive
Mobile, AL 36615-1421
(251) 432-6533
(251) 432-6598 (FAX)

**ALABAMA DEPARTMENT OF
ENVIRONMENTAL MANAGEMENT**

IN THE MATTER OF:)
)
)
AFCO Architectural Products)
Air Facility ID No. 410-0030) CONSENT ORDER NO. 13-131-CAP
Pell City, St Clair County, Alabama)
)

PREAMBLE

This Special Order by Consent is made and entered into by the Alabama Department of Environmental Management (hereinafter, “the Department” and/or “ADEM”) and AFCO Architectural Products (hereinafter, the “Permittee”) pursuant to the provisions of the Alabama Environmental Management Act, Ala. Code, §§22-22A-1 through 22-22A-16, (2006 Rplc. Vol.), the Alabama Air Pollution Control Act, Ala. Code §§22-28-1 to 22-28-23 (2006 Rplc. Vol.), and the regulations promulgated pursuant thereto.

STIPULATIONS

1. AFCO Architectural Products (hereinafter, the "Permittee") operates a composite building products manufacturing plant, Air Division Facility No. 410-0030 (hereinafter, the "Facility"), located in St Clair County in Pell City, Alabama.
2. The Department is a duly constituted department of the State of Alabama pursuant to Ala. Code §§22-22A-1 to 22-22A-16 (2006 Rplc. Vol.).

3. Pursuant to Ala. Code §22-22A-4(n) (2006 Rplc. Vol.), the Department is the state air pollution control agency for the purposes of the federal Clean Air Act, 42 U.S.C. 7401 to 7671q, as amended. In addition, the Department is authorized to administer and enforce the provisions of the Alabama Air Pollution Control Act, Ala. Code §§ 22-28-1 to 22-28-23 (2006 Rplc. Vol.).

4. On January 28, 2008 the Department issued Major Source Title V Operating Permit 410-0030 (hereinafter, the "Permit") to the Permittee, subject to certain conditions and requirements.

5. General Permit Proviso 9 of the Permit states: : "Any application form, report, test data, monitoring data, or compliance certification submitted pursuant to this permit shall contain certification by a responsible official of truth, accuracy, and completeness. This certification shall state that, based on information and belief formed after reasonable inquiry, the statements and information in the document are true, accurate and complete."

6. General Permit Proviso 12 of the Permit states: "A compliance certification shall be submitted annually within 60 days of the anniversary date of issuance of this permit."

DEPARTMENT'S CONTENTIONS

7. The Permittee was required to submit to the Department an Annual Compliance Certification (hereinafter, "ACC") for the period of January 30, 2012 through January 29, 2013 by March 30, 2013.

8. An inaccurate ACC was received by the Department on April 23, 2013 after the Department's inquiry as to why it had not been submitted in a timely manner.

9. The Department considered the ACC to be inaccurate because it certified the Permittee was in compliance with all permitted and regulatory requirements for the previous year and it should have noted that the ACC for the previous year was not submitted in a timely manner.

10. The Department issued a Notice Of Violation (NOV) to the Permittee on May 8, 2013 for failing to submit the ACC to the Department by March 30, 2013 and for stating compliance with the General Permit Provisos 9 and 12 of the Permit.

11. Pursuant to Ala. Code §22-22A-5(18)c. *as amended*, in determining the amount of any penalty, the Department must give consideration to the seriousness of the violation, including any irreparable harm to the environment and any threat to the health or safety of the public; the standard of care manifested by such person; the economic benefit which delayed compliance may confer upon such person; the nature, extent and degree of success of such person's efforts to minimize or mitigate the effects of such violation upon the environment; such person's history of previous violations; and the ability of such person to pay such penalty. Any civil penalty assessed pursuant to this authority shall not exceed twenty-five thousand dollars (\$25,000.00) for each violation, provided however, that the total penalty assessed in an order issued by the Department shall not exceed two hundred fifty thousand dollars (\$250,000.00). Each day such violation continues shall constitute a separate violation. In arriving at this civil penalty, the Department has considered the following:

A. SERIOUSNESS OF THE VIOLATION: The Department considers the following violations to be serious:

1) The Permittee failed to submit an ACC to the Department in a timely manner.

2) The Permittee failed to submit an accurate ACC to the Department.

B. THE STANDARD OF CARE: The Department considers the Permittee to have demonstrated an insufficient standard of care by failing to submit a timely and accurate certification to the Department.

C. ECONOMIC BENEFIT WHICH DELAYED COMPLIANCE MAY HAVE CONFERRED: The Department is not aware of any significant economic benefit gained by the Permittee as a result of the violations referenced herein.

D. EFFORTS TO MINIMIZE OR MITIGATE THE EFFECTS OF THE VIOLATION UPON THE ENVIRONMENT: There is currently no evidence that the violations cited in this Order resulted in emissions to the environment beyond that allowed by regulations; therefore, there appears to be no adverse effects to the environment.

E. HISTORY OF PREVIOUS VIOLATIONS: The Department issued the Permittee a NOV on April 12, 2004 and a Consent Order No. 05-024-CAP on December 29, 2004 for failure to submit a timely ACC. The Permittee also received a NOV on May 6, 2005 for failure to submit the ACC in a timely manner and failure to submit an accurate ACC. Additionally an April 25, 2006 NOV and an October 6 Consent Order No. 07-001-CAP were issued to the Permittee for failure to submit a timely ACC. The Permittee also received a NOV on April 1, 2005 for a violation unrelated to this order.

F. THE ABILITY TO PAY: The Permittee has not alleged an inability to pay the civil penalty.

G. OTHER FACTORS: It should be noted that this Special Order by Consent is a negotiated settlement and, therefore, the Department has compromised the amount of the penalty in this matter in the spirit of cooperation and the desire to resolve this matter amicably, without incurring the unwarranted expense of litigation.

12. The Department has carefully considered the six statutory penalty factors enumerated in Ala. Code § 22-22A-5(18)c., *as amended*, as well as the need for timely and effective enforcement and, based upon the foregoing and attached contentions, has concluded that the civil penalty herein is appropriate and consistent with the historical penalty range imposed by the Department for similar violations (see Attachment A, which is made a part of Department's contentions).

13. The Department neither admits nor denies Permittee's contentions, which are set forth below. The Department has agreed to the terms of this Consent Order in an effort to resolve the alleged violations cited herein without the unwarranted expenditure of State resources in further prosecuting the above violations. The Department has determined that the terms contemplated in this Consent Order are in the best interests of the citizens of Alabama.

PERMITTEE'S CONTENTIONS

14. Permittee neither admits nor denies the Department's contentions. Permittee consents to abide by the terms of this Consent Order and to pay the civil penalty assessed herein.

ORDER

THEREFORE, the Permittee, along with the Department, desires to resolve and settle the compliance issues cited above. The Department has carefully considered the facts available to it and has considered the six penalty factors enumerated in Ala. Code §22-22A-5(18)c. as amended, as well as the need for timely and effective enforcement, and that the following conditions are appropriate to address the violations alleged herein. Therefore, the Department and the Permittee agree to enter into this ORDER with the following terms and conditions:

A. The Permittee agrees to pay to the Department a civil penalty in the amount of \$2,500.00 in settlement of the violations alleged herein within forty-five days from the effective date of this Consent Order. Failure to pay the civil penalty within the allowed time frame may result in the Department's filing a civil action in the Circuit Court of Montgomery County to recover the full unpaid amount of the imposed civil penalty.

B. The Permittee agrees that all penalties due pursuant to this Consent Order shall be made payable to the Alabama Department of Environmental Management by certified or cashier's check and shall be remitted to:

Office of General Counsel
Alabama Department of Environmental Management
P.O. Box 301463
Montgomery, Alabama 36130-1463

C. The Permittee agrees to immediately upon execution of this Consent Order to comply with all applicable regulations and conditions of the Permit.

D. The parties agree that this Consent Order shall apply to and be binding upon both parties, their directors, officers, and all persons or entities acting under or for them. Each signatory to this Consent Order certifies that he or she is fully authorized by the party he or she represents to enter into the terms and conditions of this Consent Order, to execute the Consent Order on behalf of the party represented, and to legally bind such party.

E. The parties agree that, subject to the terms of these presents and subject to provisions otherwise provided by statute, this Consent Order is intended to operate as a full resolution of the alleged violations and/or deviations which are cited in this Consent Order.

F. The Permittee agrees that it is not relieved from any liability if it fails to comply with any provision of this Consent Order.

G. For purposes of this Consent Order only, the Permittee agrees that the Department may properly bring an action to compel compliance with the terms and conditions contained herein in the Circuit Court of Montgomery County. The Permittee also agrees that in any action brought by the Department to compel compliance with the terms of this Agreement, the Permittee shall be limited to the defenses of *Force Majeure*, compliance with this Agreement and physical impossibility. A *Force Majeure* is defined as any event arising from causes that are not foreseeable and are beyond the reasonable control of the Permittee, including its contractors and consultants, which could not be overcome by due diligence (i.e., causes which could have been overcome or avoided by the exercise of due diligence will not be considered to have been beyond the reasonable control of the Permittee) and which delays or prevents performance by a date required by the Consent Order. Events such as unanticipated or increased costs of performance,

changed economic circumstances, normal precipitation events, or failure to obtain federal, state, or local permits shall not constitute *Force Majeure*. Any request for a modification of a deadline must be accompanied by the reasons (including documentation) for each extension and the proposed extension time. This information shall be submitted to the Department a minimum of ten working days prior to the original anticipated completion date. If the Department, after review of the extension request, finds the work was delayed because of conditions beyond the control and without the fault of the Permittee, the Department may extend the time as justified by the circumstances. The Department may also grant any other additional time extension as justified by the circumstances, but it is not obligated to do so.

H. The Department and the Permittee agree that the sole purpose of this Consent Order is to resolve and dispose of all allegations and contentions stated herein concerning the factual circumstances referenced herein. Should additional facts and circumstances be discovered in the future concerning the Facility which would constitute possible violations not addressed in this Consent Order, then such future violations may be addressed in Orders as may be issued by the Director, litigation initiated by the Department, or such other enforcement action as may be appropriate, and the Permittee shall not object to such future orders, litigation or enforcement action based on the issuance of this Consent Order if future orders, litigation or other enforcement action address new matters not raised in this Consent Order.

I. The Department and the Permittee agree that final approval and entry into this Order are subject to the requirements that the Department give notice of proposed Orders to the public, and that the public have at least thirty days within which to comment on the Order.

J. The Department and the Permittee agree that this Order shall not affect the Permittee's obligation to comply with any Federal, State, or local laws or regulations.

K. The Department and the Permittee agree that final approval and entry into this Order are subject to the requirements that the Department give notice of proposed Orders to the public, and that the public have at least thirty days within which to comment on the Order.

L. The Department and the Permittee agree that, should any provision of this Order be declared by a court of competent jurisdiction or the Environmental Management Commission to be inconsistent with Federal or State law and therefore unenforceable, the remaining provisions hereof shall remain in full force and effect.

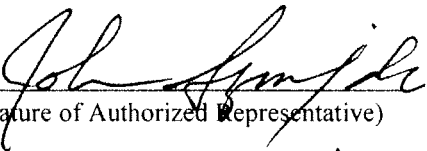
M. The Department and the Permittee agree that any modifications of this Order must be agreed to in writing signed by both parties.

N. The Department and the Permittee agree that, except as otherwise set forth herein, this Order is not and shall not be interpreted to be a permit or modification of an existing permit under Federal, State or local law, and shall not be construed to waive or relieve the Permittee of its obligations to comply in the future with any permit.

Executed in duplicate, with each part being an original.

AFCO ARCHITECTURAL PRODUCTS

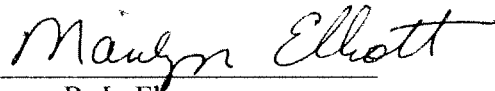
ALABAMA DEPARTMENT OF
ENVIRONMENTAL MANAGEMENT


(Signature of Authorized Representative)

John Szmaida
(Printed Name)

General Manager
(Printed Title)

June 28, 2013
(Date Signed)


Lance R. LeFleur
Director

August 23, 2013
(Date Executed)

Attachment A
AFCO Architectural Products
Air Facility ID No. 410-0030

Violation*	Number of Violations*	Seriousness of Violation*	Standard of Care*	History of Previous Violations*
Failure to submit Annual Compliance Certification in a timely manner	1	\$1,000.00	\$500.00	\$500.00
Failure to submit accurate Annual Compliance Certifications	1	\$250.00	\$250.00	
Totals:		\$1,250	\$750	\$500

Economic Benefit:

Mitigating Factors:

Ability to Pay:

Other Factors:

Civil Penalty: \$2,500

Footnote

* See the "Stipulations and Contentions" portions of the order for detailed descriptions of each violation and consideration of the penalty factors.